



SAHARA INTERVAL FUND
(A Debt Oriented Interval Fund with no assured returns)

Sr. IQ1SEP 10

An offer for units at NAV based price during the Specified Transaction Period

PLAN	SPECIFIED TRANSACTION PERIOD OPENS ON	SPECIFIED TRANSACTION PERIOD CLOSES ON
Quarterly Plan - Series 1	12th September, 2010	16th September, 2010

1. DISTRIBUTOR INFORMATION (AMFI registered only) (Not to be filled in by Applicant)

Name and AMFI Reg. No. (ARN)	Sub Agent's Name and ARN	Investor Service Centre	Serial No.	Date of Receipt / Time
ARN				

Upfront commission, if any will be paid by me/us to the AMFI registered and empanelled (with Sahara Mutual Fund) ARN Holder, directly.

2. PLEASE CHOOSE ANY ONE OPTION FOR MAKING INVESTMENTS. [Please tick (✓)]

Growth / Dividend Payout Dividend Reinvestment If Option is not specified, default option would be Dividend Reinvestment.

FOR EXISTING INVESTORS (Please Proceed to Section 4 & 10)

Folio No. _____

^ KYC certification is mandatory for all investments of Rs. 50,000/- and above (Refer SID for further details)

3. APPLICANT'S INFORMATION [PAN is mandatory for all investments and for all applicants] (Please refer to KIM Instruction No.6)

Name of Sole / First Applicant (First / Middle / Surname) <input type="checkbox"/>	Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> M/s.	Date of Birth (In case of Minor)	D D / M M / Y Y Y Y
Name of Guardian (In case of Minor) OR Contact Person (for Non-individuals)	Mr. <input type="checkbox"/> Ms. <input type="checkbox"/>	Date of Birth	D D / M M / Y Y Y Y
Name of Second Applicant	Mr. <input type="checkbox"/> Ms. <input type="checkbox"/>	Date of Birth	D D / M M / Y Y Y Y
Name of Third Applicant	Mr. <input type="checkbox"/> Ms. <input type="checkbox"/>	Date of Birth	D D / M M / Y Y Y Y

Mode of Holding [Pl. ✓] 1. Single 2. Either or Survivor/s 3. Joint* (* DEFAULT, IN CASE NOT INDICATED WHEN APPLICANTS ARE MORE THAN ONE)

4. *MANDATORY DETAILS relating to PAN (Please Quote and also submit self-attested xerox copy of PAN Card) for all applicants.

Sole /First Applicant /Guardian	Second Applicant	Third Applicant
*PAN _____	_____	_____
Pl. (✓) <input type="checkbox"/> PAN Copy	<input type="checkbox"/> PAN Copy	<input type="checkbox"/> PAN Copy

(kindly furnish original PAN card for verification)

^KYC Acknowledgement attached / already submitted [Pl. ✓] Applicant 1 Applicant 2 Applicant 3

5. Occupation of the 1st Applicant [Pl. ✓]

Status/Category of the 1st Applicant [Pl. ✓]

- | | | | | | | |
|--|--|---|---|---|--------------------------------------|--|
| 1. <input type="checkbox"/> Business | 5. <input type="checkbox"/> Retired | 10. <input type="checkbox"/> Others (pl. specify) | 1. <input type="checkbox"/> Resident Individual | 5. <input type="checkbox"/> AOP/BOI | 9. <input type="checkbox"/> Trust | 13. <input type="checkbox"/> Government Body |
| 2. <input type="checkbox"/> Professional | 6. <input type="checkbox"/> Student | | 2. <input type="checkbox"/> On behalf of minor | 6. <input type="checkbox"/> Partnership Firm | 10. <input type="checkbox"/> Society | 14. <input type="checkbox"/> Financial Institution |
| 3. <input type="checkbox"/> Agriculturist | 7. <input type="checkbox"/> Housewife | | 3. <input type="checkbox"/> HUF | 7. <input type="checkbox"/> Proprietorship Firm | 11. <input type="checkbox"/> NRI | 15. <input type="checkbox"/> Banks |
| 4. <input type="checkbox"/> Private sector service | 8. <input type="checkbox"/> Public Sector/ Govt. service | | 4. <input type="checkbox"/> Company <input type="checkbox"/> Listed <input type="checkbox"/> Unlisted | 8. <input type="checkbox"/> Body Corporate | 12. <input type="checkbox"/> Fils | 16. <input type="checkbox"/> Others (pl. specify) |
| | 9. <input type="checkbox"/> Forex Dealer | | | | | |

Address of Sole / First Applicant / Guardian (P.O. Box Address is not sufficient) (Local Address for NRIs / Fils)

_____	_____	_____
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City _____ Pin Code (Mandatory) _____ State _____ I / We give consent to receive Account Statement/other updates by email (Pl. ✓)

E-mail: _____
STD Code: _____ Mob. No. _____ Resi.: _____ Office: _____

NRI / FII Overseas Address (Mandatory) (P.O. Box Address is not sufficient)

_____	_____	_____
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TEAR HERE



SAHARA ASSET MANAGEMENT COMPANY PRIVATE LIMITED
Corporate Office : 97-98, 9th Floor, Atlanta, Nariman Point, Mumbai - 400 021.
Website: www.saharamutual.com

ACKNOWLEDGEMENT (to be filled in by investor)
Email : saharemutual@saharamutual.com

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Received from _____ Address : _____ _____	SAHARA INTERVAL FUND QUARTERLY PLAN - SR. 1 [tick (✓)] <input type="checkbox"/> Dividend Option <input type="checkbox"/> Dividend Reinvestment <input type="checkbox"/> Payout <input type="checkbox"/> Growth Option	Amount Paid Rs. (in Figures) : _____ Rs. (in Words) : _____ Cheque/ DD No.: _____ Dated : _____ Bank Name : _____ Branch : _____	Collection Centre, Stamp, Date & Time, Signature
an application for purchase of units, subject to realisation of cheque(s)/demand draft(s) as per details. <input type="checkbox"/> PAN submitted <input type="checkbox"/> KYC Ack. submitted.			

INSTRUCTIONS TO INVESTORS FOR FILLING UP THE APPLICATION FORM

1. The form should be filled in English in **BLOCK LETTERS**. Please tick (P) in the appropriate box, where boxes have been provided. 2. The Signature(s) should be in English or in any of the Indian languages specified in the Eighth Schedule of the Constitution of India. Thumb Impressions must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. Applications by minors should be signed by their guardian. In case of HUF, the KARTA should sign on behalf of the HUF. Similarly, for the Association of Persons (AOP) the application must be signed by the Authorised Signatory. 3. The cheque/demand draft should be drawn in favour of **“Sahara Interval Fund Quarterly Plan - Series 1”** and crossed **“Account Payee Only”**. The cheque/demand draft should be payable locally at the centre where the Application is deposited. The cheque/demand draft should be drawn on any bank which is a member/sub-member of the Bankers Clearing House of that city. In case the Investors subscribes to the units of the scheme through a demand draft/pay order/Bankers Cheque, the cost towards such instruments, if any shall be borne by the Investor. 4. Investors are requested to choose an Option, in the application form. If such a choice is not being indicated by the investor, it will be deemed that the investor has opted for Dividend Re-investment option. **5. As per SEBI guidelines, it is mandatory for the first applicant to provide the name of the bank, branch, address, account type and account number at the time of application.** **6. PAN / KYC norms: It is Mandatory for all applicants to submit the copy of the PAN card. In line with SEBI guidelines / Prevention of Money Laundering Act 2002 (PMLA), KYC is mandatory for applications of Rs. 50000/- and above for all applicants.** 7. Application without PAN / Bank account details will not be accepted. 8. NRIs / Persons of Indian origin seeking to apply for Units on a non-repatriation basis may make payments by cheques /drafts drawn out of Non-Resident Ordinary (NRO) accounts payable at the centre where the application form is accepted. 9. Payments by Cash, Stock Invests, Post-dated cheques or out station cheques will not be accepted. **10 Plase refer to the chart on “specified transaction period” as defined on page 1 of this KIM.** 11. In case of an application under Power of Attorney or by a limited company, body corporate, registered society, trust or partnership, etc. the relevant Power of Attorney or the relevant resolution or authority to make the application as the case may be, or duly certified copy thereof, along with Memorandum and Articles of Association/bye-laws must be lodged along with the application form. 12. Applicants should specify the mode of holding. In case of joint holders, the first named holder will receive all the account statements, income/redemption/refund warrants and any other correspondence sent from time to time. 13. Applications complete in all respects, may be submitted at the Collection Centres at locations mentioned in the KIM/Application Form as appointed by the AMC. 14. Applications incomplete in any respect or not accompanied by a cheque/demand draft for the amount payable are liable to be rejected and the money paid will be refunded without interest. 15. If the applicant wishes to nominate in respect of this investment, kindly fill up the nomination details in the application form. 16. The nomination can be made only by individuals applying for/ holding units on their own behalf signed singly or jointly. Non-individuals including society, trust, body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. 17. A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. 18. The Nominee shall not be a trust, society, body corporate, partnership firm, Karta of Hindu Undivided Family or a Power of Attorney holder. A non-resident Indian can be a Nominee subject to the exchange control regulations in force, from time to time. 19. Nomination in respect of the units stand rescinded upon the transfer of units. 20. Transfer of Units in favour of Nominee shall be valid discharge by the Asset Management Company against the legal heir. 21. The cancellation of nomination can be made only by those individuals who have held units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the Asset Management Company shall not be under any obligation to transfer the units in favour of the Nominee. 22. No separate receipt will be issued for the application money. The Investor Service Centre will stamp and return the acknowledgement slip in the application form, to acknowledge receipt of the application. 23. Application form/s not completed in any respect/s is liable to be rejected by the AMC.

Accompanying documents

Please submit the following documents with your application (where applicable). All documents should be original/true copies certified by a Director/Trustee/Company Secretary/Authorised Signatory/Notary Public.

Documents	Companies	Societies	Partnership Firms	Investments through POA	Trusts	FIs
Resolution/Authorisation to invest	✓	✓			✓	✓
List of Authorised Signatories with Specimen signature(s)	✓	✓	✓	✓	✓	✓
Memorandum & Articles of Association		✓				
Trust Deed					✓	
Bye-laws		✓				
Partnership Deed			✓			
Overseas Auditors' Certificate						✓
Notarised Power of Attorney				✓		
Account Type/FIRC/Approval from FIPB						✓

Registrar: KARVY COMPUTER SHARE PVT. LTD. (KARVY)
(Unit : Sahara Mutual Fund)

21, Avenue 4, Street No. 1, Banjara Hills, Hyderabad - 500034. Ph: 040 - 23420802, 23312454, Email : service_smf@karvy.com

Note : All future communication in connection with this application should be addressed to the Registrar at the address given above, quoting full name of First/Sole Applicant, the Application Serial Number, the name of the Scheme, the amount invested, date and the place of the Collection Centre / Investor Service Centre where application was lodged.